

MIRAE ASSET TRIGGER INVESTMENT PLAN (TRIP)



Name & Broker Code / ARN	Sub Broker / Sub Agent ARN Code	Employee Unique Identification Number (EUIN)	ISC Date Time Stamp Reference No.

Declaration for "Execution Only" Transaction (where EUIN box is left blank). Please refer Instruction 29 for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. "Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor".

Signature of 1 st Applicant / Guardian / Authorised Signatory /PoA/Karta	Signature of 2 nd Applicant / Guardian / Authorised Signatory /PoA	Signature of 3 rd Applicant / Guardian / Authorised Signatory /PoA
--	--	--

1. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application)

Folio No. Name of 1st Unit Holder: _____

Please tick (✓) any one New registration Cancellation

Please note : All new investors will have to submit this TRIP registration form along with the Common Application Form

2. TRIGGER SCHEME DETAILS (Please (✓) any one only from Source & Target Scheme)

Source Scheme(s)	Target Scheme(s)
Scheme / Plan / Option:	Scheme / Plan / Option:

3. TRIGGER EXECUTION DETAILS Please (✓) any one only

Four stages of TRIGGER execution	BSE SENSEX Levels (please indicate at what levels you wish the transfer to happen)	Illustration	<input type="radio"/> Flexible Instalment Option**	<input type="radio"/> Fixed Instalment Option	
I		Please indicate BSE SENSEX levels such as 25,000, 25,500, 26,000, 26,500 etc. in multiples of 500 points.	Minimum 10%	25%	
II			Minimum 10%	25%	
III			Minimum 10%	25%	
IV			Minimum 10%	25%	
			Total	100%	100%

For detailed information and Terms & Conditions, please see overleaf

** Please note that under flexible instalment option the total of percentages should be 100.

Please note - If only one (1) Index level is given, then the entire (100%) unit balance in the source scheme will be switched out into the Target Scheme.

4. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(S) [Refer Instructions 2(e)]

To The Trustees, Mirae Asset Mutual Fund (The Fund) - (A) Having read and understood the contents of the SID of the Scheme(s), I/We hereby apply for units of the scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Global Investments (India) Limited (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) Applicable for NRIs only: I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription and for all additional purchases have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/ Ordinary Account. (I) Applicable to Foreign Resident's Residing in India: I/We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I/We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I/We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s).

Signature of 1 st Applicant / Guardian / Authorised Signatory /PoA/Karta	Signature of 2 nd Applicant / Guardian / Authorised Signatory /PoA	Signature of 3 rd Applicant / Guardian / Authorised Signatory /PoA
--	--	--

TRIGGER ACKNOWLEDGEMENT SLIP

Received Application from Mr. / Ms. / M/s. _____ Application No. _____ as per details below:

From Scheme / Plan / Option _____

Date & Stamp of Collection Centre / ISC

To Scheme / Plan / Option _____

Options (Please (✓) appropriate box) Flexible Instalment Option Fixed Instalment Option

INSTRUCTIONS FOR FILLING APPLICATION FORM

Mirae Asset Trigger Investment Plan (TRIP)

MIRAE ASSET
Mutual Fund

6. TERMS AND CONDITIONS

The following words and expressions shall have the following meaning specified herein:

- Mirae Asset Trigger Investment Plan (TRIP)** (hereinafter referred to as the 'Plan'): is a facility provided to the Unit holders of Source scheme(s) to set triggers based on the predetermined event to enable Mirae Asset Mutual Fund (hereinafter referred to as the 'Fund') to automatically transfer on behalf of the Unit holder(s) the specified percentage of the amount registered in the Source scheme(s) to select Target scheme(s) on the trigger date(s) occurring during the period of 1 year from the date of registration under the Plan.
Trip facility in all the scheme(s) shall allow funds to move from equity scheme to debt scheme and debt scheme to equity scheme at pre-defined levels.
- Source Scheme(s) & Target Scheme(s)**: The following scheme(s) offered by Mirae Asset Mutual Fund are, eligible under the Plan:
Mirae Asset Cash Management Fund
Mirae Asset Ultra Short Term Bond Fund
Mirae Asset India Opportunities Fund
Mirae Asset Emerging Bluechip Fund
Mirae Asset Prudence Fund
Mirae Asset Tax Saver Fund**
**Mirae Asset Tax Saver Fund shall act as the source scheme subject to completion of 3 year lock in period.

The above list i.e. Source Scheme(s) and Target Scheme(s) is subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of Mirae Asset Mutual Fund for updated list.

- Trigger**: Trigger means the predetermined event set by the Unitholder(s) of the Source scheme.
- Amount Registered**: The amount registered is the amount specified by the Unit holder in the enrolment form to avail the Plan.
- Index**: "S&P BSE SENSEX"
- Trigger Date**: The trigger date is the date on which the closing value of Index reaches or crosses the Index level(s) as indicated by the Unit holder(s) in the enrolment form.
- Predetermined event**: Predetermined event is the reaching or crossing of closing Index level(s) as specified by the Unit holder during the period of 1 year from the date of registration under the Plan.
- Plan period**: Plan period is the period of 1 year from the date of registration of the Unit holder under the Plan. On completion of one year from the date of registration, in case the Triggers indicated by the investors remain inactive, the amount will remain parked in the source scheme. In case investors decide to opt out of the facility, they can give a written request to cease the Trigger facility.

General Terms and Conditions:

- The Enrolment Form should be completed in ENGLISH and in BLOCK LETTERS only. Please do not overwrite. For any correction / changes (if any) made on the application form, the sole/all applicants are requested to authenticate the same by canceling and re-writing the correct details and counter-signing the same.
The Enrolment Form complete in all respects should be submitted at any of the Investor Service Centres (ISCs) of Mirae Asset Mutual Fund.
 - Existing Unit holders in the Source scheme(s) are required to submit only the MIRAE ASSET TRIGGER INVESTMENT PLAN (TRIP) Enrolment Form. Unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing folio number and would prevail over any conflicting information furnished in this form. Unit holders' name should match with the details in the existing folio number, failing which, the application is liable to be rejected.
 - Enrolment forms incomplete in any respect are liable to be rejected. The AMC / Trustee retains the sole and absolute discretion to reject any enrolment form.
 - Investors must use separate Enrolment Forms for investing simultaneously in different Source schemes / Plans / Options. Investors can indicate only one Target Scheme in each Enrolment Form.
 - Investors are advised to read the Scheme Information Document(s) of the Scheme(s) and Statement of Additional Information carefully before investing. The Scheme Information Document(s) / Key Information Memorandum(s) of the respective Scheme(s) and Statement of Additional Information are available with the ISCs of Mirae Asset Mutual Fund, brokers / distributors and also displayed at the Mirae Asset Mutual Fund website i.e. www.miraeassetmf.co.in
 - Load structure: The amount transferred from the Source Scheme to the Target Scheme shall be effected by redeeming units of Source Scheme at Applicable NAV, after payment of Exit Load, if any, and subscribing to the units of the Target Scheme at Applicable NAV.
- * Exit Load of the Source Scheme(s)
The amount transferred under the TRIP from the Source Scheme to the Target Scheme shall be effected by redeeming units of Source Scheme at applicable NAV, after payment of any Exit Load, if any, and subscribing to the units of the Target Scheme at Applicable NAV.
 - * Exit Load of the Target Scheme(s)
Applicable Exit Load, if any, in the Target Scheme / Plan / Option as on the date of enrollment will also be levied. For Scheme load structure please refer to Key Information Memorandum or contact the nearest Investor Service Centre (ISC) of Mirae Asset Mutual Fund or visit our website www.miraeassetmf.co.in

Terms and conditions for Mirae Asset Trigger Plan:

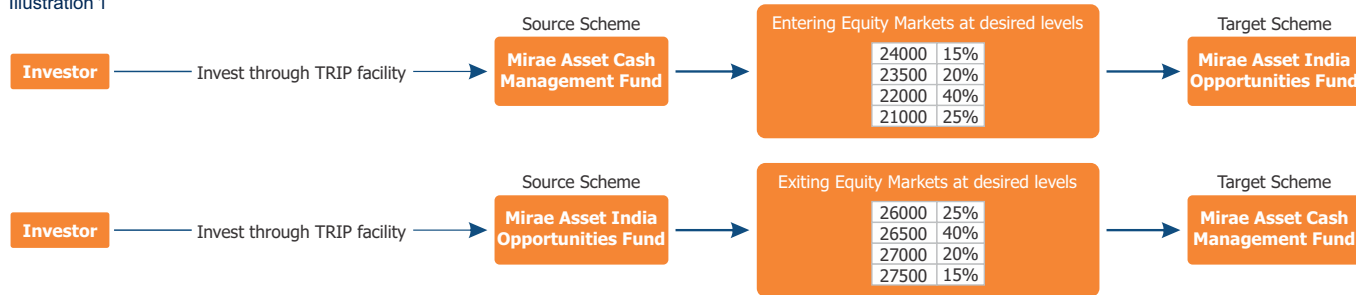
- The minimum Unit holder's account balance or a minimum amount of investment in the Source scheme at the time of enrolment under the Plan should be Rs. 50,000/- and in multiples of Rs. 1,000/- thereafter.
However, the Unit holder has to comply with the provision of Minimum Application Amount in the Source scheme as mentioned in the Scheme Information Document(s) / Key Information Memorandum(s).
- The Unit holders of the Source scheme(s) have to set triggers based on the predetermined event.
- On occurrence of predetermined events, the Fund on behalf of the Unit holders will automatically transfer the specified percentage of the amount registered in the Source scheme(s) to select Target scheme(s) on the trigger date(s).
- The trigger date(s) occurring during the period of 1 year from the date of registration of the Unit holder under the Plan shall only be covered, i.e. validity of the Triggers is 1 year from the date of registration.
- In respect of the enrolments made in the above-mentioned Scheme(s) under the Plan, the Load Structure prevalent at the time of enrolment shall govern the investors during the tenure of the Plan.
- There will be four blank spaces in the form for the Unit holder to indicate the closing Index levels of his choice. The Index levels filled in by the Unit holder must be in multiples of 500 points, e.g. 26000, 26500, 27000, 27500, etc. Index levels in ascending order or descending order or vice-a-versa or combination of both may be indicated. An Investor cannot choose index levels like 26200, 25700 but he can only choose index level in multiples of 500, such as 25500, 26000 or 26500.
- Unit holders under the Plan are offered two enrolment options viz. Flexible Installment option and Fixed Installment option. Unit holders will use separate form for enrolling under each option.
In case valid enrolment forms are received without indicating any choice of option, the default option considered for processing the form will be Fixed Installment option.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

INSTRUCTIONS FOR FILLING APPLICATION FORM

Mirae Asset Trigger Investment Plan (TRIP)

Illustration 1



As illustrated, if the source scheme is a Debt scheme then one may choose to invest 15% when S&P BSE Sensex goes below 24,000 levels on a particular day, 20% when it touches 23500 levels and so on. Investor for example has invested Rs. 1 lac in Mirae Asset Cash Management Fund and has registered for the TRIP facility. Therefore, if on a specific day in the future, Sensex closes at 23989 levels, Rs. 15000 (15% of Rs. 1 lac) will be transferred to Mirae Asset India Opportunities Fund (your preferred equity scheme).

Vice versa, if the source scheme is Equity scheme then one may choose to invest 15% if S&P BSE Sensex reaches 26000 on a particular day, 20% when it touches 26,500 levels and so on. Investor for example has invested Rs. 1 lac in Mirae Asset India Opportunities Fund and has registered for the TRIP facility. Therefore, if on a specific day in the future, Sensex closes at 26,000 levels, Rs. 15000 (15% of Rs. 1 lac) will be transferred to Mirae Asset Cash Management Fund (your preferred debt scheme).

- Under Flexible Installment option the minimum percentage to be indicated against each Index level trigger is 10% and in multiples of 1% thereafter and under Fixed installment option the percentage indicated against each Index level trigger is fixed at 25%. The cumulative percentage under each option must be equal to 100.
- The amount registered under the Plan as indicated in (1) above will be considered for calculating either flexible or fixed percentage (as opted by the Unit holders).
- On the trigger date, the specified percentage of the amount registered will be transferred in the Target Scheme.
- For Trigger date falling on Friday or on any business day where the next day is a non-business day, for Liquid Funds (MACMF), the NAV applicable shall be next business day for Target Scheme & for Source Scheme, the NAV of the day preceding the next business day will be applicable. In case of debt funds (MAUSTBF), the NAV applicable shall be the day end NAV for both Source and the Target Scheme.**

Illustration 2. if the Unit holder has enrolled in the following option

Source Scheme	Mirae Asset Cash Management Fund (MACMF)
Target Scheme	Mirae Asset India Opportunities Fund (MAIOF)
Index Level	% of the amount to be switched
26,000	20%
25,500	25%
25,000	30%
30,000	15%

Assume the following scenarios:

Opening Index Level on November 1, 2014 (Friday) is 26,200, points. The closing Index Level touches 25,800 points. As a result 1st Index levels is triggered at 26,000, which means 20% of the registered amount will be switched into the select Target scheme. In the above example if Rs. 1 lac has been enrolled for the trigger plan, then Rs. 20,000/- will be switched into the select Target scheme.

But since the day falling on the trigger activation day is Friday the NAV allocated for the (MAIOF) Target Scheme would be as of Monday which falls on 4th November 2014 and for (MACMF) Source Scheme would be 3rd November 2014.

- Upon transfer of the registered amount into the Target scheme, the transaction will be processed by creation of units at the closing NAV of the same day i.e. the trigger date. Once a transaction is processed, the same will not be reversed and it will be final and binding upon the Unit holder.
- The AMC will require at least 7 business days from the date of submission of valid enrolment forms to register the Unit holder under the Plan. Therefore, in the intervening period i.e. between the date of submission of the enrolment form and the date of registration, if any of the triggers (i.e. closing Index levels) as indicated by the unit holder is reached or crossed, the same will not be taken into consideration for activation of the Plan. Upon registration, the Unit holders will be sent a confirmation by mail or email or SMS (as opted by the Unit holder). The amount registered as mentioned in (1) above, must be available in the Source scheme at the time of registration.
- The trigger once activated and processed, becomes inactive. For e.g. if the Trigger is activated at Index level of 26,500 on the Trigger date and the specified percentage of the registered amount is transferred to the Target scheme and the said trigger becomes inactive, and subsequently, in case the Index level again touches 26,500 at a later date during the period of the Plan, the Trigger being inactive, will not be processed.
- If on a particular Business Day the trigger gets activated in such a manner that two or more Index levels are reached or crossed, the transactions will be processed by transferring the cumulative percentages of the amount registered against each of the triggered levels into the Target scheme. For e.g. if the Unit holder has enrolled in the following option:

Illustration 3. if the Unit holder has enrolled in the following option

Index Level	% of the amount to be switched
26,000	20%
25,500	25%
25,000	30%
30,000	15%

Assume the following scenarios:

Opening Index Level on October 1, 2011: 26,200 points. The closing Index Level touches 25,400 points. As a result 2 Index levels are triggered, one at 26,000 and second at 25,500, which means 45% of the registered amount will be switched into the select Target scheme. In the above example if Rs. 1 lac has been enrolled for the trigger plan, then Rs. 45,000/- will be switched into the select Target scheme and the units will be allotted at the closing NAV of October 1, 2011. (assuming 1st October is not a non-business day or Friday).

- For all predetermined events the amount registered under the Plan shall be computed based on the value of the unit balance in the Source scheme, prevailing on the respective trigger date(s).

The transactions will be processed by the Fund only after verifying that the registered amount on each trigger date is equal to or more than the percentage value of the flexible / fixed trigger options (as indicated by the Unit holders). In case the value of the unit balance in the Source scheme on the trigger date is less than the percentage value of the flexible / fixed trigger options or the balance is Nil, then the said trigger will not be processed.

For e.g. The trigger gets activated. Assuming that flexible / fixed trigger option indicated by the Unit holder against the trigger is 25%. On the trigger date the balance in the Source scheme is Rs. 23,000/-, whereas the amount registered for the facility is Rs. 1,00,000/-, the trigger will not be processed as the balance in the Source Scheme is less than the percentage value of the flexible / fixed trigger options (i.e. Rs. 25,000, being 25% of Rs. 1,00,000). Subsequently, at a later date, in case the trigger gets activated again and the balance available in the Source scheme is equal to or more than the registered amount, the trigger will be processed.

- Whenever, redemption request is received from the Unit holder in the Source scheme and the event also gets triggered on the same date (i.e. the date of redemption request and the trigger date coincide), then, redemption transaction will always be processed first.
- In case there is an all unit redemption and Unit balance in the Source scheme is reduced to Nil even then the Plan will continue for a period of 1 year from the date of registration.

INSTRUCTIONS FOR FILLING APPLICATION FORM

Mirae Asset Trigger Investment Plan (TRIP)

18. On completion of 1 year from the date of registration, in case Nil Triggers or few Triggers are executed, then the balance of the amount registered under the Plan will remain parked in the source scheme.
19. In case, the balance amount registered under the Plan falls below the minimum amount of transfer per installment, the same shall continue to remain invested in the Source scheme.
20. The Unit holders will have an option of multiple registrations in the Plan. Separate forms must be used for each registration.
21. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the Source schemes will not be applicable for MIRAE ASSET TRIGGER INVESTMENT PLAN. Whereas, the provision of 'Minimum Application Amount' specified in the Scheme Information Document(s) of the Target schemes will be applicable for MIRAE ASSET TRIGGER INVESTMENT PLAN.
22. MIRAE ASSET TRIGGER INVESTMENT PLAN will be automatically terminated if all units of Source Scheme are pledged or upon receipt of intimation of death of the Unit holder.
23. Unit holders will have the right to discontinue the Plan at any time by sending a written request to the ISC. Notice of such discontinuance shall be made effective within 7 calendar days from the date of receipt of the said request. Triggers, which may get activated until the effective date of discontinuation shall be processed in accordance with the terms, laid herein above.
24. The Trustee reserves the right to change the indices under the Plan on a prospective basis.
25. The Trustee reserves the right to change the terms and conditions of MIRAE ASSET TRIGGER INVESTMENT PLAN. The Trustee also reserves the right to withdraw the Plan.
26. If a Trigger is not achieved and / or implemented due to reasons which are beyond the control of Mirae Asset Mutual Fund / Mirae Asset Global Investments (India) Private Limited (MIRAE AMC), Mirae Asset Mutual Fund / Mirae AMC shall not be held responsible. MIRAE ASSET TRIGGER INVESTMENT PLAN is only a facility extended by Mirae Asset Mutual Fund for the convenience of the Unit holders and does not form part of any Scheme objective.
27. MIRAE ASSET TRIGGER INVESTMENT PLAN in any manner whatsoever is not an assurance or promise or guarantee on part of Mirae Asset Mutual Fund / Mirae Asset Global Investments (India) Private Limited (MIRAE AMC) to the Unit holders in terms of returns or capital appreciation or minimization of loss of capital or otherwise.
28. All rights in the S & P BSE SENSEX vest in Bombay Stock Exchange Limited ("BSE and S & P"). BSE shall not be held liable or responsible in any manner whatsoever for any loss arising to any investor under this Plan. The Plan is not sponsored, endorsed, sold or promoted by BSE.
29. Employee Unique Identification Number (EUIIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIIN obtained by him / her from AMFI in the Application Form. EUIIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIIN box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee / sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the following declaration separately signed by the investor.