

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM)

DATE 

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ARN & ARN Name	Sub Agent's ARN / Bank Branch Code	Internal Code for Sub-Agent / Employee	Employee Unique Identification Number (EUIIN)
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ARN Declaration - Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction. (refer inst no. A-4) (To be signed by all applicants, if mode of holding is joint)

Sign Here _____ First/ Sole Applicant/ Guardian / PoA Holder / Karta	Sign Here _____ Second Applicant	Sign Here _____ Third Applicant
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**FOLIO NO.:**

First/ Sole Account Holder:	
Joint Holder 1 / Guardian:	
Joint Holder 2:	

Investors desiring to get allotment of units in dematerialized mode instead of physical mode should provide the details in their demat account below:

NSDL	DP NAME _____	DP ID	I	N						Beneficiary Account No.									
CDSL	DP NAME _____	Beneficiary Account No.																	

Please attach a copy of the DP statement / Client Master Form to enable us to verify the demat account details.

**Important:** Names, mode of holding, PAN details, etc. of the Investor will be verified against the Depository data. Names, mode of holding, PAN details, etc. of the Investor will be verified against the Depositor data. **The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment.** In case the verification fails, units will be credited in physical mode.

**Scheme Name/Plan/Option\*:**

<b>Additional Purchase</b>	Amount ₹:	Pay Mode: <input type="checkbox"/> Funds Trfr <input type="checkbox"/> RTGS/NEFT <input type="checkbox"/> Cheque/DD No. _____
	Bank Name:	Date: _____ A/c type: <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> Other
	Pay in A/c No.:	Branch: _____
<b>Switch To</b>	Amount ₹:	Or Units: _____ Or <input type="checkbox"/> All Units
	Scheme/Plan/Option/Sub Option: _____	
<b>Redemption</b>	Amount ₹:	Or Units: _____ Or <input type="checkbox"/> All Units
	Credit redemption proceeds to registered** Bank Name: _____ A/c No. _____	

\* Mandatory, else transaction may be rejected, \*\* Mention your bank account for redemption proceeds, if multiple bank accounts are registered, else Default account will be considered.

**COMMON TRANSACTION FORM DECLARATION(S) AND SIGNATURE(S)**

To,

**The Trustee, Mahindra Mutual Fund**

I/We have read and understood the contents of the scheme related documents (i.e. Scheme Information Document / Key Information Memorandum & Statement of Additional Information) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. I/We confirm that I/We are not United States person(s) under the laws of United States or residents(s) of Canada as defined under the applicable laws of Canada. The amount invested in the Scheme(s) is derived through legitimate sources only and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, AMC, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the AMC / the Fund, their appointed service providers or representatives responsible. The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/or any indicative yield for this investment. Applicable to NRIs only: I/We confirm that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I/We confirm that the details provided by me / us are true and correct.

First Unitholder / Authorised Signatory	Second Unitholder	Third Unitholder
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**A. Common Instructions:**

- Please read the Scheme related documents, Addenda, KIM carefully before submitting the form.
- KYC: According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002, it is made compulsory for all unitholders to be KRA-KYC compliant while making an application for subscription of units. For more information on KRA-KYC, please log on to [www.mahindramutualfund.com](http://www.mahindramutualfund.com) before investing. Applications are liable to be rejected without any intimation to the applicants, if required KRA-KYC compliance is not complied by all the unit holders. In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request form' and submit the same at Point of Service of any KYC Registration Agency.
- If the broker details are changed / re-written, please countersign near the broker box for confirmation.
- Employee Unique Identification Number (EUIIN): For applications routed through distributors, in addition to the ARN of the distributor, Unitholders are requested to also provide the EUIIN of the individual ARN holder or of employee/relationship manager/sale person of the Distributor interacting with the investor. Providing appropriate EUIIN would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investment (i.e. transaction is 'execution only'), then the EUIIN box may be left blank, but it would be mandatory for the investor to provide signed confirmation as mentioned in the form. EUIIN is not required to be provided for redemption transactions.
- In case ARN No/ Broker Code is mentioned in the form, but 'Direct Plan' is indicated, the ARN No/ Broker Code will be ignored and the application will be processed under Direct Plan under respective scheme, subject to it being complete in all other aspects. Further, where application is received for 'Regular Plan' without Distributor code or 'Direct' mentioned in the ARN Column, the application will be processed under 'Direct Plan' of the scheme.

**B. Specific Instructions For Additional Purchases:**

- To make an additional purchase, the first unit holder should be one of the bank account holders in the pay-in bank account i.e. the bank account used for issuing the payments to the Mutual Fund. Unitholder should attach necessary supporting documents as required by the Mutual Fund, if this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT request, or demand drafts.
- Additional Purchase request should necessarily mention the pay-in bank account details i.e. account number and bank, branch name used for issuing the payments to the Mutual Fund.
- Payment through Stock invest, outstation cheques, and third party payments will not be accepted. D.D. charges, if any, would be borne by the AMC only for the investors residing at places which are not covered by our offices / authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India.

**C. Specific Instructions For Redemption/Switch:**

Redemption will be processed if folio number and full scheme name including plan and option is not mentioned. Redemptions/Switches will be processed only if amount/ units is clearly mentioned. If there is no sufficient amount/ units, the balance available free units in the respective account/folio will be redeemed/ shifted /switched. Proceeds of any redemption request will be sent only to a bank account that is already registered in the folio at the time of redemption transaction processing.

✂----- TEAR HERE -----✂