

**FATCA, CRS & UBO DECLARATION
(For Non Individual Investors)**



Please refer to the Instructions for assistance and complete all sections in English. For legibility, please use BLOCK letters in black or dark ink. Please seek appropriate advice from a tax professional on FATCA related information applicable to you.

APPLICANT(S) INFORMATION

Folio No./Application No.

Name of the entity _____

Type of address given at KRA : Residential or Business Residential Business Registered Office

“Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes”

City of Incorporation _____ Country of Incorporation _____

PAN Date of Incorporation / /

Entity Type Please (✓) Constitution Partnership Firm HUF Private Limited Company Public Limited Company Society AOP/BOI Trust H Liquidator Limited Liability Partnership Artificial Juridical Person Others _____ specify _____

Please tick the applicable tax resident declaration -

1. Is “Entity” a tax resident of any country other than India: Yes No
(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number [%]	Identification Type (TIN or Other, please specify)

[%]In case Tax Identification Number is not available, kindly provide its functional equivalent⁵.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity’s Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity’s exemption code here

FATCA & CRS Declaration

PART A (to be Filled by Financial Institutions or Direct Reporting NFEs)

1 We are a, Financial institution⁶ or Direct reporting NFE⁷ (please tick as appropriate)

GIIN

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor’s GIIN above and indicate your sponsor’s name below

Name of sponsoring entity

GIIN not available (please tick as applicable) **Applied for**

If the entity is a Financial institution, Not required to apply for - please specify 2 digits sub-category¹⁰ Not obtained – Non-participating FI

PART B (please fill any one as appropriate “to be filled by NFEs other than Direct Reporting NFEs”)

1 Is the Entity a publicly traded company¹ (that is, a company whose shares are regularly traded on an established securities market) Yes (If yes, please specify any one stock exchange on which the stock is regularly traded)
Name of stock exchange _____

2 Is the Entity a related entity² of a publicly traded company (a company whose shares are regularly traded on an established securities market) Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)
Name of listed company _____
Nature of relation: Subsidiary of the Listed Company or Controlled by a Listed Company
Name of stock exchange _____

3 Is the Entity an active³ NFE Yes (If yes, please fill UBO declaration in the next section.)
Nature of Business _____
Please specify the sub-category of Active NFE (Mention code – Refer 2C of Part D)

4 Is the Entity a passive⁴ NFE Yes (If yes, please fill UBO declaration in the next section.)
Nature of Business _____

¹ Refer 2a of Part D | ² Refer 2b of Part D | ³ Refer 2c of Part D | ⁴ Refer 3(ii) of Part D | ⁵ Refer 1 of Part D | ⁶ Refer 3(vii) of Part D | ⁷ Refer 1A of Part D

