

Request Letter from the Lender on their letter-head for marking lien on units

Ref:

Date: ____ / ____ / 201

JM Financial Asset Management Limited.
502, 5th Floor, A-Wing, Laxmi Tower,
Bandra Kurla Complex, Bandra (East),
Mumbai - 400 051.

Dear Sirs,

Subject : Lien against _____ units

Scheme's Name in Full : _____

Folio No :

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Lender's PAN :

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(Name of the Borrower) has/have approached us for a loan/facility. We have since agreed to extend loan/facility to the above investor holdings units as stated above subject to certain terms & conditions listed in the agreement entered into between us and the investor on <Date of agreement>

In consideration of our extending this facility to the investor and based on the terms & conditions of our agreement referred above, we would like to request you to mark lien on the units held by the investor in the captioned account as per the below mentioned details in favour of <name of the lender >. In this connection, we forward herewith an application from our customer authorizing you to mark lien in our favour against the above mentioned units for the loan granted/to be granted to him/her / them.

Please mark the lien in our favour against the following:

- Principal units and
- the accruals (Dividend Payout or Dividend Reinvested/Bonus units allotted) arising out of the above holdings till our lien is vacated as per the details in following table :

S No.	Full Name/s of the Scheme/s	Number of Parent Units On which lien is to be marked	Whether Lien is to be marked on Bonus/Dividend Reinvestment Units as and when allotted(Yes/No)	Who should be paid dividend in case of payout (i.e Lender or Unit holder) *
1	JM			
2	JM			

*In case of dividend payout to investor, the same will be paid as per the Bank Details appearing in the purchase application/folio .

*In case of lender, the dividend/redemption proceeds may be credited to our following bank account through RTGS/NEFT/Direct Credit or through cheque .

Name of the Lender as per Bank Account	:	
Bank Name	:	
Bank Account Number	:	
Branch (Address)	:	
Type of A/c (SB/C/A)	:	
MICR Code	:	
RTGS Code	:	

You are requested to send all your communication to the attention of

Our Contact Person	:	
Designation	:	
Address	:	
Contact No	:	
Fax No	:	
Email ID	:	
RTGS Code	:	

You are requested to pay the value at applicable price of the said number of units under lien as on the date of the our request in writing to JM Financial Mutual Fund upon exercising the power of redemption/invocation of lien by us as stated.

The said lien shall continue to be in favour of (Lender Name) until an instruction of release is issued by (<Lender's Name>).

Kindly mark a lien as mentioned above and send us the confirmation letter for our records.

Yours truly,

Authorised Signatory/ies (with Rubber Stamp & Signing Power Number)

- Encl : 1- Investor's Authorisation Letter
2- List of Authorised signatories of the Lender