

Employee Unique Identification Number

(Please use separate form for each transaction)

I/We hereby remediate the missing / invalid Employee Unique Identification Number (EUIN) by providing the EUIN/execution only confirmation, for the following transaction:

1 FOLIO / APPLICATION NUMBER

Folio No. Application Number

2 CONTACT DETAILS

Tel. No. Mobile No.

Email ID

3 TRANSACTION DATE (Remediation allowed within 90 calendar days of the transaction trade date)

4 TRANSACTION TYPE (Please ✓ any one)

Purchase Switch SIP Registration STP Registration

5 SCHEME NAME

6 BROKER CODE / SUB BROKER CODE

Broker Code A R N - Sub Broker Code A R N -

7 EUIN

OR (Please Tick Confirmation)

Date

Execution Only Status of Transaction

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".

Signatures
First Unit Holder/Authorised Signatory

Second Unit Holder

Third Unit Holder

Date Transaction Date

Folio No. Application Number

Broker Code A R N - Sub Broker Code A R N -

Scheme Name Transaction Type

EUIN or Execution only EUIN or Execution only confirmation