

Fill this form, attach documents and submit to us. All details and documents in the form are mandatory.
* Refer overleaf/page 2 for list of required documents

1. Request from : (Claimant/s)

2. Request In the Capacity of:

3. Guardian details: (if the claimant is a minor)

Joint Holder/s Legal Heir(s) Successor(s) to the Estate
 Registered Nominee Survivors of HUF Administrator(s) of the Estate

Minor's DoB with proof: d d m m m y y y y

Name of the Guardian:

PAN (Claimant / Guardian) : KYC

Relationship with Minor: Father Mother Court Appointed (Attach proof)

Due to sad demise of the unit holder/s, I/we request DSP BlackRock Mutual Fund for transmission of units and register me / us as the beneficial owner(s) in respect of the investments standing in the folio/s and name of the said deceased as mentioned below.
Hereunder, please mention details of the investments in the name of the deceased for which you are requesting transmission.

4. Deceased Unit holder Name/s /Karta's Name:

5. Folio Number/s:

6. Contact Details:

Mobile Number: + 9 1

Email id: (in capital)

7. Communication Address:

New address to be updated from KYC letter attached. Or provide below if KYC is still in progress.

Address Line 1

Address Line 2

City Pincode State

8. Additional KYC / FATCA and CRS DETAILS

- a. Occupation Details (Please tick ✓) Private Sector Service Public Sector Service Government Service Business
 Professional Agriculturist Retired Housewife Student Forex Dealer Others..... (Please specify)
- b. Gross Annual Income (Please tick ✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs-1 crore >1 crore
- c. Others (Please tick ✓) Not Applicable Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)

Sole/First Claimant			2nd Claimant			POA		
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY
Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type
1			1			1		
2			2			2		
3			3			3		

Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.

9. New Bank Details (if changed) Mention new bank details for updation in folio as default bank account

Attach any one of: Cancelled Cheque with name & account pre-printed Bank statement Certified Copy of Pass book
Submit originals of any one of the documents mentioned above, or copy should be attested by the Bank or originals should be produced for verification.

Bank Name Branch

Bank A/c No (Core Banking)

A/c Type (Please ✓) Savings Current NRE NRO FCNR Others.....

MICR Code (09 digit) IFSC Code (11 digit)

10. Nomination Details for new transmitted folio (It is advisable to avail Nomination Facility)

I/we wish to nominate. I/we DO NOT wish to nominate.

	Nominee Name	Guardian Name (In case of Minor)	Allocation %	Nominee/ Guardian Signature
Nominee 1				
Nominee 2				
Nominee 3				
Address			Total = 100%	

11. Declaration and Signatures

I/We confirm that the details mentioned above are true and correct. I / We hereby submit the documents mentioned on following page, based on transmission case as applicable to me/us, in support my/our claim for the said investments. I/We will not hold the Fund/AMC/RTA for any delay in transmission due to inadequacy of the documents or due to verification of our claim in detail and agree that the Fund reserves a right to call for any additional details and/or documents.

Claimant as new Sole/First holder Claimant as new Second holder Claimant as new Third holder

(Form should be signed by the surviving joint holder(s) / legal heir(s) / legal representative(s) / nominee / all surviving members of the HUF/guardian on behalf of minor, as the case may be)

Acknowledgement (To be filled in by the claimant/s)	Transmission Request Form	DSP BlackRock Mutual Fund
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Received, subject to verification, request for transmission of units from: ISC Stamp & Signature

..... Folio no:.....

Transmission Documents Matrix - Ready Reckoner

Sr. No	Documents Required for Transmission	Transmission to Surviving Joint Holders	Demise of Sole/All unit holders & Nominee/s registered.	Demise of Sole/All unit holders & Nominee/s NOT registered.	Demise of Karta of an HUF.
Basic Documents:					
1	Letter from the Nominee/s or the Claimant/s or new Karta addressed to the AMC/Fund/Registrar.	✓	✓	✓	✓
2	Death Certificate of deceased Unit Holder/s / Karta in original or Photocopy duly notarized or attested by gazetted officer/bank manager.	✓	✓	✓	✓
3	KYC of Nominee/s or Claimant/s or Surviving Unit holders or HUF & New Karta, Or KYC of the Guardian (in case of nominee /claimant being a minor / of unsound mind).	✓	✓	✓	✓
4	For New Bank Mandate: Cancelled Cheque/Bank Statement/Pass Book copy with name and account number pre-printed or Bank Mandate details - duly attested by Bank Manager - (Annexure I)	✓	✓	✓	✓
5	FATCA and CRS details	✓	✓	✓	✓
Legal Documents: (Indemnity Bonds/Individual Affidavit to be on minimum Rs 20/- stamp paper or more and duly notarized. KYC acknowledgement address should match with the address mentioned in the Indemnity Bond.)					
1	Indemnity bond signed by all legal Heir/s confirming the claimant/s (Annexure II)			✓	
1 B	Individual Affidavit by the Legal Heir/s (Annexure III)			✓	
2	Transmission value Less than Rs.2,00,000: Document evidencing relationship of the claimant/s with the deceased unitholder/s. Transmission value Rs. 2,00,000/- or more: Notarised copy of the Probated Will OR Legal Heir / Succession / Claimant certificate by a competent court OR Letter of Administration, in case of Inestate Succession.			✓	
3 A	Indemnity Bond signed by all the co-parceners appointing the new karta (Annexure IV)				✓
3 B	Notarized copy of Settlement Deed OR Deed of Partition OR Decree of the relevant competent Court: (In case of no surviving co-parceners and the transmission value Rs. 2,00,000/- or more, OR where there is an objection from any surviving members of the HUF)				✓