DSP BLACKROCK
MUTUAL FUND

FATCA, CRS AND ADDITIONAL KYC

Details and Declaration form

For Investors using OLD Application Forms / Transaction Forms / Slips which do not contain new requirement on FATCA, CRS and KYC details.
Non Individual Investors have to additionally submit separate FATCA Details and UBO forms.
Please refer to instructions, terms and conditions in updated KIM/Scheme related documents available on www.dspblackrock.com.

SOLE / FIRST INVESTOR DETAILS

Name **Application No.**

PAN **Folio Nos.**

1. ADDITIONAL KYC DETAILS (MANDATORY)

Sole / First Investor Details

a. Occupation Details (Please tick ✓) ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business
○ Professional ○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others..... (Please specify)

b. Gross Annual Income (Please tick ✓) ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore
Net-worth in (Mandatory for Non-Individuals) ₹ ..... as on D D / M M / Y Y Y Y (Not older than 1 year)

c. For Individuals/HUF

- ○ I am Politically Exposed Person
- ○ I am Related to Politically Exposed Person
- ○ Not Applicable

For Non-Individual Investors (Companies, Trust, Partnership etc)

I. Foreign Exchange / Money Changer Services ○ YES ○ NO
II. Gaming / Gambling / Lottery/Casino Services ○ YES ○ NO
III. Money Lending / Pawning ○ YES ○ NO

2nd Investor Name **PAN**

a. Occupation Details (Please tick ✓) ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business
○ Professional ○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others..... (Please specify)

b. Gross Annual Income ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore **OR** Net worth ₹ .....

c. Others (Please tick ✓) ○ Politically Exposed Person (PEP) ○ Related to a Politically Exposed Person (PEP) ○ Not Applicable

---

3rd Investor Name **PAN**

a. Occupation Details (Please tick ✓) ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business
○ Professional ○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others..... (Please specify)

b. Gross Annual Income ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore **OR** Net worth ₹ .....

c. Others (Please tick ✓) ○ Politically Exposed Person (PEP) ○ Related to a Politically Exposed Person (PEP) ○ Not Applicable

2. FATCA AND CRS DETAILS For Individuals/HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA/CRS details form

Sole/First Investor/Guardian			2nd Investor			3rd Investor		
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY

Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.

Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type
1			1			1		
2			2			2		
3			3			3		

3. DECLARATION & SIGNATURES

I / We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after necessary consultation with tax professionals. I / We have understood the information requirements pertaining to FATCA, CRS and Additional KYC requirements, terms and conditions (read along with instructions and scheme related documents) and hereby confirm that the information provided by me/us on this form are true, correct, and complete.

Sole / First Investor/ Guardian	Second Investor	Third Investor	POA holder, if any