



LIEN REQUEST LETTER FROM THE INVESTOR
 (THIS SHOULD COME ALONG WITH BANK LETTER)

Date:

(Name & Address of the Registrar /
 AMC of the Mutual Fund Scheme)

Subject : Lien Against Units of _____ (Name of the Mutual Fund Scheme)

Reference: Folio No.

In consideration of _____ (lender Name) (hereinafter called “the _____”) having granted / agreeing to grant me / us a Loan Against the Security of ____ (number of units) units of _____ (name of the mutual fund scheme) bearing Folio No. ____ Of which I/We can/are the holder/s and on the understanding that the _____ (lender name) is being given necessary authority to ask _____ (name of the Mutual Fund) (referred to as the said Mutual Fund), to note a lien in favor of the _____ (lender name) against these units in the books of the said Mutual Fund, I/we hereby authorize the said Mutual Fund to note a lien against the units mentioned herein, in favor of _____ (lender name) till the same is vacated by the _____ (lender name) as expressed in a letter. We confirm that these units are not brought/held under Sec.54 EA/EB and that no lock-in period is applicable on these units. We further confirm that these units are presently available as free balance and that no lien has already been marked on these units.

Further this letter also authorize the said Mutual Fund to pay the value (Redemption Price of the said number of units on the final redemption / maturity date or the date of the _____'s (lender name) request) of the said units including any accretions / accruals thereon to the said _____ (lender name) in case of my/our default in repayment of the loan/advances availed by me/us on such communication/demand by the _____ (lender name) to that effect. Such communication / demand by the _____ (lender name) will be final and no further communication or information will be required to be given to me/us. This authority in your shall not be revoked by me/us except with the prior approval of the _____ (lender name) in writing and the _____'s (lender name) consent / agreement to this effect shall be furnished by me/us to the fund. I/We further undertake that I/we shall not transfer / assign / redeem / repurchase / switch / nominate/ gift etc., these units during the period that the units are pledged with the _____ (lender name). I/We further undertake to indemnify the said Mutual Fund against any losses, financial or otherwise, which may arise out of fraud, mistake, etc. I/We hereby authorize the said Mutual Fund to redeem the units in favor of the _____ (lender name) in case of maturity of the scheme or termination of the scheme for any reason whatsoever.

Yours Faithfully,

	Name	Signature
First Holder	<input type="text"/>	<input type="text"/>
Second Holder	<input type="text"/>	<input type="text"/>
Third Holder	<input type="text"/>	<input type="text"/>

This letter - signed by all the holders of the Mutual Fund Scheme has to be submitted by the Customer to _____ (lender name) in Duplicate.

Baroda Pioneer Asset Management Company Limited
CIN : U65991MH1992PLC069414
501, Titanium, 5th Floor, Western Express Highway,
Goregaon (E), Mumbai - 400063. Phone: +91 22 3074 1000 / 4219 7999.
Fax: + 91 22 3071 1001. Toll Free No.: 1800 2670 189
Website : www.barodapioneer.in Email:info@barodapioneer.in

BARODA PIONEER MUTUAL FUND



Ref No. To be filled by the bank

Date : To be filled by the bank

BANK NAME
Bank Address

To,

BARODA PIONEER MUTUAL FUND

501, Titanium, 5th Floor,
Western Express Highway,
Goregaon (E), Mumbai - 400 063.

Dear Sir/Madam,

Sub: Lien on the Units Baroda Pioneer Mutual Fund

We have received an application from our customer for granting Loan Against the Lien of Following units.

Sr. No.	Mutual Fund Scheme	Holder Name	Folio No.	Units

The Authority letter issued to you by the customer for lien marking the lien in our favour is enclosed.

Please mark the lien in our favour and send the lien confirmation (in the prescribed format) at the earliest. Please note that lien is to be vacated only at our request and not otherwise. The dividend that may accrue towards the units in the event of dividend payout, are to be remitted to the investor while the units continue to be pledged in favour of the bank. In case of dividend re-investment, the dividend units re-invested are not subject to lien and as such are free from encumbrances and can be redeemed by the investor.

Please send all your correspondence to the attention of Mr./Ms.Concerned person name in Loan Against Shares Department, BANK ADDRESS to be filled by Bank.

Thanking You,

Yours Sincerely,

For **BANK NAME**

(Authorized Signatories)

Baroda Pioneer Asset Management Company Limited
CIN : U65991MH1992PLC069414
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BARODA PIONEER MUTUAL FUND



Ref No. To be filled by the bank

Date : To be filled by the bank

BANK NAME
Bank Address

To,

BARODA PIONEER MUTUAL FUND

501, Titanium, 5th Floor,
Western Express Highway,
Goregaon (E), Mumbai - 400 063.

Dear Sir/Madam,

Sub: Unpledge of units held under Baroda Pioneer Mutual Fund

Our bank had sanctioned a loan/overdraft facility against the pledge of the Mutual Fund units mentioned below. The customer would like to unpledge this as per the request.

We here by authorize you to lift the lien marked on the said units only to the extent mentioned below.

Sr. No.	Mutual Fund Scheme	Holder Name	Folio No.	Units

Please send all your correspondence to the attention of Mr./Ms.Concerned person name in Loan Against Shares Department, BANK ADDRESS to be filled by Bank

Thanking You,

Yours Sincerely,

For **BANK NAME**

(Authorized Signatories)