

Format of Holding of Specified securities

1. Name of Listed Entity:MAHINDRA & MAHINDRA FINANCIAL SERVICES LTD
2. Scrip Code/Name of Scrip/Class of Security:532720,M&MFIN,EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
 - a. if under 31(1)(b) then indicate the report for quarter ending 31/12/2019
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares		NO
b Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d Whether the Listed Entity has any shares in locked-in?	YES	
e Whether any shares held by promoters are pledge or otherwise encumbered?		NO

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

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Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of (A+B+C))	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	1	316207660	0	0	316207660	51.19	316207660	0	316207660	51.19	0	51.19	2,50,00,000.00	7.91	0	0.00	316207660
(B)	Public	75472	299090579	0	0	299090579	48.41	299090579	0	299090579	48.41	0	48.41	0	0.00	NA	NA	298848704
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	1	2466721	0	0	2466721	0.40	2466721	0	2466721	0.40	0	0.40	0	0.00	NA	NA	2466721
	Total:	75474	617764960	0	0	617764960	100.00	617764960	0	617764960	100.00	0	100.00	25000000	4.05	0	0.00	617523085



MAHINDRA & MAHINDRA FINANCIAL SERVICES LIMITED

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding converttble securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Government/State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other		1	316207660	0	0	316207660	51.19	316207660	0	316207660	51.19	0	51.19	25000000	7.91	0	0.00	316207660
	MAHINDRA & MAHINDRA LIMITED	AAACM3025E	1	316207660	0	0	316207660	51.19	316207660	0	316207660	51.19	0	51.19	25000000	7.91	0	0.00	316207660
	Sub-Total (A)(1)		1	316207660	0	0	316207660	51.19	316207660	0	316207660	51.19	0	51.19	25000000	7.91	0	0.00	316207660
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	316207660	0	0	316207660	51.19	316207660	0	316207660	51.19	0	51.19	25000000	7.91	0	0.00	316207660



Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total (IX)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)						
(1)	Institutions																		
(a)	Mutual Funds		26	72836277	0	0	72836277	11.79	72836277	0	72836277	11.79	0	0.00	NA	NA		72836277	
	ICICI PRUDENTIAL VALUE FUND SERIES 8	AAAAI0038F	1	13032382	0	0	13032382	2.11	13032382	0	13032382	2.11	0	0.00	NA	NA		13032382	
	KOTAK BALANCED ADVANTAGE FUND	AAATK4475F	1	8410662	0	0	8410662	1.36	8410662	0	8410662	1.36	0	0.00	NA	NA		8410662	
	SBI MAGNUM MIDCAP FUND	AABTS6407Q	1	13428676	0	0	13428676	2.17	13428676	0	13428676	2.17	0	0.00	NA	NA		13428676	
	AXIS MUTUAL FUND TRUSTEE LIMITED A/C AXIS MUTUAL FUND	AACTA5925A	1	7798338	0	0	7798338	1.26	7798338	0	7798338	1.26	0	0.00	NA	NA		7798338	
(b)	Venture Capital Funds		1	682743	0	0	682743	0.11	682743	0	682743	0.11	0	0.00	NA	NA		682743	
(c)	Alternate Investment Funds		5	181594	0	0	181594	0.03	181594	0	181594	0.03	0	0.00	NA	NA		181594	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(e)	Foreign Portfolio Investors		440	153535111	0	0	153535111	24.85	153535111	0	153535111	24.85	0	0.00	NA	NA		153535111	
	VALIANT MAURITIUS PARTNERS OFFSHORE LIMITED	AACCV8509Q	1	6258692	0	0	6258692	1.01	6258692	0	6258692	1.01	0	0.00	NA	NA		6258692	
	KOTAK FUNDS - INDIA MIDCAP FUND	AAGCK4148L	1	9053736	0	0	9053736	1.47	9053736	0	9053736	1.47	0	0.00	NA	NA		9053736	
(f)	Financial Institutions/Banks		4	19115768	0	0	19115768	3.09	19115768	0	19115768	3.09	0	0.00	NA	NA		19115768	
	LICI NEW ENDOWMENT PLUS-BALANCED FUND	AAACL0582H	1	17837196	0	0	17837196	2.89	17837196	0	17837196	2.89	0	0.00	NA	NA		17837196	
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(i)	Any Other																		
	Sub Total (B)(1)		476	246351493	0	0	246351493	39.88	246351493	0	246351493	39.88	0	0.00	NA	NA		246351493	
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(3)	Non-Institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		71993	17527014	0	0	17527014	2.84	17527014	0	17527014	2.84	0	0.00	NA	NA		17384094	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		13	4517756	0	0	4517756	0.73	4517756	0	4517756	0.73	0	0.00	NA	NA		4517756	
(b)	NBFCs Registered with RBI		4	6290	0	0	6290	0.00	6290	0	6290	0.00	0	0.00	NA	NA		6290	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(e)	Any Other																		
	TRUSTS		7	1090910	0	0	1090910	0.18	1090910	0	1090910	0.18	0	0.00	NA	NA		1090910	
	NON RESIDENT INDIANS		1581	604125	0	0	604125	0.10	604125	0	604125	0.10	0	0.00	NA	NA		604125	
	CLEARING MEMBERS		130	778751	0	0	778751	0.13	778751	0	778751	0.13	0	0.00	NA	NA		778751	
	Qualified Institutional Buyer		11	2103742	0	0	2103742	0.34	2103742	0	2103742	0.34	0	0.00	NA	NA		2103742	
	NON RESIDENT INDIAN NON REPATRIABLE		677	257790	0	0	257790	0.04	257790	0	257790	0.04	0	0.00	NA	NA		257790	
	BODIES CORPORATES		579	25782651	0	0	25782651	4.17	25782651	0	25782651	4.17	0	0.00	NA	NA		25683696	
	HDFC LIFE INSURANCE COMPANY LIMITED	AAACH8755L	1	16219603	0	0	16219603	2.63	16219603	0	16219603	2.63	0	0.00	NA	NA		16219603	
	I E P F		1	70057	0	0	70057	0.01	70057	0	70057	0.01	0	0.00	NA	NA		70057	
	Sub Total (B)(3)		74996	52739086	0	0	52739086	8.54	52739086	0	52739086	8.54	0	0.00	NA	NA		52497211	
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		75472	299090579	0	0	299090579	48.41	299090579	0	299090579	48.41	0	0.00	NA	NA		298848704	



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
									Class X	Class Y								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)				
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regu		1	2466721	0	0	2466721	0.40	2466721	0	2466721	0.40	0	0.00	NA	NA	2466721	
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		1	2466721	0	0	2466721	0.40	2466721	0	2466721	0.40	0	0.00			2466721	



Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares
12	2175



MAHINDRA & MAHINDRA FINANCIAL SERVICES LIMITED
MUMBAI
CHIEF FINANCIAL OFFICER

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

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